

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SELF-ILLUMINATING DISPLAY SHELF ASSEMBLY

the specification of which is attached hereto unless the following box is checked:

☐ was filed on _____ as United States Application Number _____
or PCT International Application Number _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b), of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application, having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)**Priority Claimed**

| | | |
|-----------|-----------|------------------------|
| 091207218 | Taiwan | 20/May/2002 |
| (Number) | (Country) | (Day/Month/Year Filed) |
| ____ | ____ | ____ |
| (Number) | (Country) | (Day/Month/Year Filed) |
| ____ | ____ | ____ |
| (Number) | (Country) | (Day/Month/Year Filed) |

| | |
|--------------------------|-------------------------------------|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| Yes | No |
| <input type="checkbox"/> | <input type="checkbox"/> |
| Yes | No |
| <input type="checkbox"/> | <input type="checkbox"/> |
| Yes | No |

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

| (Application Serial No.) | (Filing Date) | (Status - patented, pending, abandoned) |
|--------------------------|---------------|---|
| (Application Serial No.) | (Filing Date) | (Status - patented, pending, abandoned) |

I (we) hereby appoint the following as our representative(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Please see attachment

Send Correspondence to:

OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P.
One Landmark Square, 10th Floor
Stamford, Connecticut 06901-2682
U.S.A.

Direct Telephone Calls to:

(203) 327-4500

I (we) hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | | | |
|--|----------------|-----------------|---------------|
| Full name of sole or first inventor | | | |
| San-Chih WU | | | |
| Inventor's signature | | | Date |
| Wu SAN CHIH | | | June 13, 2003 |
| Residence: | City | State | Citizenship |
| No. 47, Huai-An St., | San-Min Dist., | Kaohsiung City, | Taiwan |
| Mailing Address | | | |
| same as residence | | | |
| Full name of second joint inventor, if any | | | |
| Second Inventor's signature | | | Date |
| Residence: | | | Citizenship |
| City | State | Country | |
| Mailing Address | | | |

(F-66 A4)

☐

Additional inventors are being named on separately numbered sheets attached hereto.

Applicant or Patentee : San-Chih WU Attorney's
Serial or Patent No. : _____ Docket No.
Filed or Issued : _____
For : SELF-ILLUMINATING DISPLAY SHELF ASSEMBLY

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) and 1.27 (b)) —INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled SELF-ILLUMINATING DISPLAY SHELF ASSEMBLY described in :

(X) the specification filed herewith

() application serial no. _____, filed _____

() patent no. _____, issued _____

I have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e) .

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below :

(X) no such person, concern, or organization

() persons, concerns or organizations listed below*

*Note : Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME _____

ADDRESS _____

() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

San-Chih WU

Name of inventor Name of inventor Name of inventor

Wu SAN CHIH

Signature of Inventor Signature of Inventor Signature of Inventor

June 13, 2003

Date Date Date

ATTACHMENT

| | |
|------------------------|-----------------|
| Paul D. Greeley | Reg. No. 31,019 |
| Charles N. J. Ruggiero | Reg. No. 28,468 |
| Harry F. Smith | Reg. No. 32,493 |